

Confirmation of verification of identity certificate

Corporate and other non-individual entity

To be completed by an FSA regulated or EU regulated introducer.

Please complete the certificate and a separate certificate for all parties to the contract (for example, joint applicants, trustees, settlors and third parties where you have been required to undertake identification).

You may use this form for all situations where you verify the identity of a non-individual entity (for example, private and public corporations, partnerships, local authorities, trusts, clubs, societies, religious groups).

Full name of non-individual entity

Type of entity (for example, corporate, trust, club)

Registered number, if any (or appropriate)

Relevant company registry or regulated market listing authority

Location of business (full operating address)

Registered office (in country of incorporation)

Postcode

Postcode

Names of directors or equivalent

Names of principal beneficial owners (over 25%)

Relevant company registry includes Companies House and other registers, such as those maintained by charity commissions (or equivalent) or chambers of commerce.

If the organisation is based outside the EU, please contact the Zurich Financial Crime Unit for details of requirements.

I/We certify that:

(a) the information above was obtained by me/us in relation to the customer;

(b) the evidence I/We have obtained to verify the identity of the customer: (tick one only)

- meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG: or
- exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

This certificate cannot be used to verify the identity of any customer that falls into one of the following categories:

- those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
- those whose identity has been verified using the source of funds as evidence.

If you have not verified the identity of the applicant please give reasons below:

Adviser name

Adviser code

Address

FSA reference number

Postcode

Telephone

Details of person completing this certificate

Name

Job title

Signature

Date

Note this certificate must be signed by an officer of the Introducer Firm who is authorised to confirm the accuracy and effectiveness of the firm's customer identification verification records to which this certificate relates.

We cannot accept photocopies of completed certificates.